

# Representations of $U_q(\mathfrak{sl}_2)$ continued ...

Fix a ground field  $k$  and an element  $q \in k$  with  $q \neq 0$  and  $q^2 \neq 1$ .

Recall, the Quantized enveloping algebra  $U_q(\mathfrak{sl}_2)$  is the associative, unital algebra over  $k$  generated by  $E, F, K, K^{-1}$  with relations:

$$(R1) \quad KK^{-1} = 1 = K^{-1}K$$

$$(R2) \quad KEK^{-1} = q^2 E$$

$$(R3) \quad KFK^{-1} = q^{-2} F$$

$$(R4) \quad EF - FE = \frac{K - K^{-1}}{q - q^{-1}} = [K; 0]$$

→ Last time we saw the following:

Prop 2.1 Suppose that  $q$  is not a root of unity. Let  $M$  be a finite dimensional  $U$ -module. There are integers  $r, s > 0$  with  $E^r M = 0$  and  $F^s M = 0$ .

For a  $U$ -module  $M$ , we defined

→ Weight spaces for  $\lambda \in k, \lambda \neq 0$

$$M_\lambda = \{ m \in M \mid Km = \lambda m \}$$

$\lambda$  is called the weight of  $M_\lambda$ .

Prop 2.3 Suppose that  $q$  is not a root of unity and that  $\text{char}(k) \neq 2$ . Let  $M$  be a finite dimensional  $U$ -module. Then  $M$  is a direct sum of its weight spaces. All weights of  $M$  have the form  $\pm q^a$  with  $a \in \mathbb{Z}$ .

Last time we had defined certain infinite dimensional  $U$ -modules as following

Take  $\lambda \in k$ ,  $\lambda \neq 0$  and define

$$M(\lambda) = \frac{U}{(UE + U(K-\lambda))}$$

→  $M(\lambda)$  has a basis  $\{m_i\}_{i \in \mathbb{Z}, i \geq 0}$  where

$$m_i = \text{coset of } F^i$$

→ The action of  $U$  on basis elements is given as follows:

⊛

$$K m_i = \lambda q^{-2i} m_i$$

$$F m_i = m_{i+1}$$

$$E m_i = \begin{cases} 0 & i=0 \\ [i] \frac{\lambda q^{1-i} - \lambda^{-1} q^{i-1}}{q - q^{-1}} m_{i-1} & \text{otherwise} \end{cases}$$

→  $M(\lambda)$  satisfies the following universal property:

If  $M$  is a  $U$ -module and  $m \in M$  a vector with  $Em = 0$  and  $Km = \lambda m$ , there exists a unique homomorphism of  $U$ -modules

$$\varphi: M(\lambda) \longrightarrow M$$

with  $\varphi(m_0) = m$ .

## Remarks:

- ① If  $\lambda = \pm q^a$  for some  $a \in \mathbb{Z}$ , then the action of  $E$  on  $m_i$  can be simplified as follows:

$$Em_i = \pm [i] [a+1-i] m_i \quad \text{for } \lambda = \pm q^a$$

- ② Since  $Km_i = \lambda q^{-2i} m_i$ ,  $m_i \in M(\lambda)_{\lambda q^{-2i}}$

→ If  $q$  is not a root of unity, then  $q^{-2i} \lambda$  are distinct and we get  $M(\lambda)_{q^{-2i} \lambda} = \mathbb{K} m_i$  for all  $i \geq 0$

→ If  $q$  is a primitive  $l$ -th root of unity, then  $q^{-2i} \lambda = q^{-2j} \lambda$  if and only if  $l$  divides  $2(j-i)$ .

So there are 2 cases:

$l$  odd: then weight spaces in  $M(\lambda)$  are

$$M(\lambda)_{q^{-2i} \lambda} = \bigoplus_{n \geq 0} \mathbb{K} m_{i+nl}$$

$l = 2l'$  is even: then the weight spaces are

$$M(\lambda)_{q^{-2i} \lambda} = \bigoplus_{n \geq 0} \mathbb{K} m_{i+nl'}$$

## Description of simple U-modules

Prop 2.5: Suppose that  $q$  is not a root of unity. Let  $\lambda \in \mathbb{k}$ ,  $\lambda \neq 0$ .

(i) If  $\lambda = \pm q^n$  for all integers  $n \geq 0$ , then the U-module  $M(\lambda)$  is simple.

(ii) If  $\lambda = \pm q^n$  for some integer  $n \geq 0$ , then the  $\{m_i \mid i \geq n+1\}$  span a submodule of  $M(\lambda)$  isomorphic to  $M(q^{-2(n+1)}\lambda)$ ; this is the only submodule of  $M(\lambda)$  different from 0 and  $M(\lambda)$ .

PROOF  $\rightarrow$  Take a nonzero submodule  $M' \subset M(\lambda)$ .

$\rightarrow$  Since  $M'$  is  $\mathbb{k}$ -stable, it is a direct sum of its weight spaces, i.e., of all  $M' \cap M(\lambda)_\mu$ .

$\rightarrow$  But  $M(\lambda)_{q^{-2i}\lambda} = \mathbb{k}m_i$   
 $\Rightarrow M' = \bigoplus_{i \in I} \mathbb{k}m_i$  for some  $m_i$

Let  $j$  be the minimal element in  $I$ .  
then  $m_i = F^{i-j} m_j \in M'$   
 $\forall i \geq j$

$\therefore M' = \text{span} \{m_i \mid i \geq j\}$

$\rightarrow$  If  $j=0$  then  $M' = M(\lambda)$

$\rightarrow$  So let's assume  $j > 0$

But  $E m_i = c m_{i-1}$  for some  $c \in \mathbb{k}$

and  $j$  is least index in  $I$

$\Rightarrow E m_j = 0$

$$\Rightarrow c = [j] \frac{\lambda q^{1-j} - \lambda^{-1} q^{j-1}}{q - q^{-1}} = 0$$

$$\Rightarrow \lambda q^{1-j} = \lambda^{-1} q^{j-1}$$

$$\Rightarrow \lambda^2 = q^{2(j-1)}$$

$$\Rightarrow \lambda = \pm q^{j-1}$$

Thus, if  $\lambda \neq \pm q^n$  then  $E m_i \neq 0$ , hence

$$M' = M(\lambda)$$

$\therefore M(\lambda)$  is simple.

If  $\lambda = \pm q^n$  then there is at most one submodule of  $M(\lambda)$  different from 0 and  $M(\lambda)$ .

However if  $\lambda = \pm q^n$  then

$$E m_{n+1} = 0 \quad \Delta \quad K m_{n+1} = \lambda q^{-2(n+1)} m_{n+1}$$

Set  $M' = \text{span} \{m_i \mid i \geq n+1\}$

Then by the universal property of  $U$ ,  $\exists$  a morphism of  $U$ -modules

$$\begin{array}{ccc} M(\lambda q^{-2(n+1)}) & \longrightarrow & M' \\ \text{sending } m_0 & \longmapsto & m_{n+1} \end{array}$$

$$\text{But } M(\lambda q^{-2(n+1)}) = M(\pm q^n q^{-2n-2}) = M(\pm q^{-n-2})$$

Theorem 2.6: Suppose that  $q$  is not a root of unity. There are for each integer  $n \geq 0$  there are two simple  $U$ -modules

(i)  $L(n, +)$  with basis  $m_0, m_1, \dots, m_n$  and action

$$K \cdot m_i = q^{n-2i} m_i$$

$$F \cdot m_i = \begin{cases} m_{i+1} & \text{if } i < n \\ 0 & \text{if } i = n \end{cases}$$

$$E \cdot m_i = \begin{cases} [i][n+1-i] m_{i-1} & \text{if } i > 0 \\ 0 & \text{if } i = 0 \end{cases}$$

(ii)  $L(n, -)$  with basis  $m'_0, m'_1, \dots, m'_n$  and action

$$K \cdot m'_i = -q^{n-2i} m'_i$$

$$F \cdot m'_i = \begin{cases} m'_{i+1} & \text{if } i < n \\ 0 & \text{if } i = n \end{cases}$$

$$E \cdot m'_i = \begin{cases} -[i][n+1-i] m'_{i-1} & \text{if } i > 0 \\ 0 & \text{if } i = 0 \end{cases}$$

for all  $0 \leq i \leq n$

Each simple  $U$ -module of dimension  $n+1$  is isomorphic to  $L(n, +)$  or  $L(n, -)$ .

PROOF: Define  $L(n, \pm) = \frac{M(\pm q^n)}{M'}$

where  $M' = \text{span} \{m_i \mid i \geq n+1\} \subset M(\pm q^n)$   
then the simplicity of  $L(n, \pm)$  follows from Prop. 2.5.

Take  $m_i$  (resp  $m'_i$ ) to be the images of  $m_i \in M(\pm q^n)$  under the quotient map.

Then the actions of  $E, F, K$  on  $m_i, m'_i$  follows from definition.

eg:  $E \cdot m_i = [i][n+1-i] m_{i-1}$  for  $m_i \in M(\pm q^n)$   
After taking quotient by  $M'$ , get above relation.

Let  $M$  be any finite dimensional simple  $U$ -module

→ By Prop 2.3,  $M = \bigoplus_{\lambda} M_{\lambda}$

→ Since  $\dim M < \infty$ , the set of  $\lambda$  with  $M_{\lambda} \neq 0$  is finite.

→ Then we can find  $\lambda$  s.t.  $M_{\lambda} \neq 0$  but  $M_{q^2\lambda} = 0$

→ Pick  $m \in M_{\lambda}$ ,  $m \neq 0$ . We had seen earlier that  $E_m = M_{q^2\lambda}$   
⇒  $E_m = 0$

→ By universal property of  $U$ -module  $M(\lambda)$ , we get a homo.  
 $\varphi: M(\lambda) \rightarrow M$

→ Since  $M$  is simple,  $\varphi$  is surjective

→ If  $\lambda \neq \pm q^n$  then  $M(\lambda)$  is simple.

Since  $\varphi$  is non-zero, by Schur's lemma, it has to be an iso.

. But  $M$  is finite dim.

⇒  $\lambda = \pm q^n$  for some  $n \in \mathbb{Z}$ ,  $n \geq 0$ .

$$\therefore M \cong \frac{M(\lambda)}{\ker \varphi}$$

$\ker \varphi$  is a submodule of  $M(\lambda)$

→ But only possible submodule is  $M'$   
(by Prop 2.4)

$$\rightarrow \therefore M \cong \frac{M(\lambda)}{M'} = L(n, \pm).$$



Remark: If  $k$  has char 2, then  
 $M(+q^n) \cong M(-q^n)$   
because  $2q^n = q^n + q^n = 0$   
 $\Rightarrow q^n = -q^n$

This in turn implies  $L(n, +) \cong L(n, -)$

## Complete reducibility of $U_q(\mathfrak{sl}_2)$

We start by analyzing the center of  $U_q(\mathfrak{sl}_2)$ .

$$\text{Set } C = EF + \frac{Kq + K^{-1}q^{-1}}{(q - q^{-1})^2}$$

Using (R4), we can write

$$\begin{aligned} C &= EF + \frac{K - K^{-1}}{q - q^{-1}} + \frac{Kq + K^{-1}q^{-1}}{(q - q^{-1})^2} \\ &= EF + \frac{Kq + K^{-1}q^{-1} + (K - K^{-1})(q - q^{-1})}{(q - q^{-1})^2} \end{aligned}$$

$$\therefore C = EF + \frac{Kq^{-1} + K^{-1}q}{(q - q^{-1})^2}$$



Lemma 2.7: a) The element  $C$  is central in  $U$ .

b)  $C$  acts on each  $M(\lambda)$  as scalar multiplication by  $\frac{\lambda q + \lambda^{-1} q^{-1}}{(q - q^{-1})^2}$

c)  $C$  acts on  $M(\lambda)$  and  $M(\mu)$  by the same scalar if and only if  $\lambda = \mu$  or  $\lambda = \mu^{-1} q^{-2}$ .

PROOF: Recall that  $U$  is a graded ring with  $\deg(E) = 1$ ,  $\deg(K) = 0$ ,  $\deg(F) = -1$

a) Follows from elementary calculations & some tricks developed in Pablo's talk.

$$\begin{aligned} \text{b)} \quad C m_0 &= EF m_0 + \frac{kq + k^{-1}q^{-1}}{(q - q^{-1})^2} m_0 \\ &= (E m_1) + \frac{q(K m_0) + q^{-1}(K^{-1} m_0)}{(q - q^{-1})^2} \\ &= [1] \left( \frac{\lambda q^{1-1} - \lambda^{-1} q^{1-1}}{q - q^{-1}} \right) m_0 + \left( \frac{q\lambda + q^{-1}\lambda^{-1}}{(q - q^{-1})^2} \right) m_0 \\ &= \left\{ \frac{(\lambda - \lambda^{-1})(q - q^{-1}) + q\lambda + q^{-1}\lambda^{-1}}{(q - q^{-1})^2} \right\} m_0 \\ &= \left[ \frac{\lambda q + \lambda^{-1} q^{-1}}{(q - q^{-1})^2} \right] m_0 \end{aligned}$$

(c) Using (b) we get the same scalar if and only if

$$\begin{aligned} \Leftrightarrow \lambda q + \lambda^{-1} q^{-1} &= \mu q + \mu^{-1} q^{-1} \\ \Leftrightarrow (\lambda - \mu) q &= (\mu^{-1} - \lambda^{-1}) q^{-1} \\ &= \frac{(\lambda - \mu)}{\lambda \mu} q^{-1} \end{aligned}$$

$$\Leftrightarrow \lambda = \mu \quad \text{or} \quad q = \lambda^{-1} \mu^{-1} q^{-1}$$

Remark: If  $\varphi: M(\lambda) \rightarrow M$  is a homo. then  $C$  also acts on  $\varphi(M(\lambda)) \subset M$  as scalars.

Lemma: Suppose that  $q$  is not a root of unity. Let  $L$  and  $L'$  be finite diml simple  $U$  modules. If  $C$  acts on  $L$  and  $L'$  by the same scalars then  $L \cong L'$ .

PROOF: By theorem 2-6,  $\exists$  integers  $n, m \geq 0$  and signs  $\varepsilon, \varepsilon' \in \{1, -1\}$  such that

$$L = L(n, \varepsilon) = \frac{M(\varepsilon q^n)}{M'}$$
$$\text{and } L' = L(m, \varepsilon') = \frac{M(\varepsilon' q^m)}{M'}$$

If  $C$  acts by the same scalar on  $L$  and  $L'$ , then it also acts by the same scalar on  $M(\varepsilon q^n)$  &  $M(\varepsilon' q^m)$ .

Then using last lemma, we get that

$$\varepsilon q^n = \varepsilon' q^m \quad \text{or} \quad \varepsilon q^n = \varepsilon' q^2 q^{-m}$$
$$(\Rightarrow L \cong L' \text{ as desired}) \quad \Rightarrow \quad q^{n+m+2} = \varepsilon \varepsilon' = \pm 1$$

$\Rightarrow \Leftarrow$   
Since  $q$  is not a root of unity.

■

Theorem 2.9 Suppose that  $q$  is not a root of unity. Let  $M$  be a finite dimensional  $U$ -module that is a direct sum of its weight spaces. Then  $M$  is a semisimple  $U$ -module.

(note that when  $\text{char}(k) \neq 2$ , then  $M$  being finite diml  $\Rightarrow M =$  direct sum of weight spaces by Prop 2.3)

PROOF: Let  $M$  be a finite diml.  $U$ -module.

$\rightarrow$  Pick a composition series

$$0 = M_0 \subset M_1 \subset M_2 \dots \subset M_r = M \text{ of } M$$

$\rightarrow$  Each  $\frac{M_i}{M_{i-1}}$  is simple, thus iso. to  $L(n, \pm)$  for some  $n > 0$

$\rightarrow$  So  $C$  acts a scalar, say  $\mu_i$ , on  $\frac{M_i}{M_{i-1}}$

$\rightarrow$  Then  $\prod_{i=1}^r (C - \mu_i)$  annihilates  $M$

$\therefore$  the minimal poly. has to divide  $\prod_{i=1}^r (C - \mu_i)$

$\Rightarrow$  the minimal poly. of  $C$  acting on  $M$  splits into linear factors, and  $M$  is direct sum of the generalized eigenspaces of  $C$

$$M = \bigoplus_{\mu} M_{(\mu)} \text{ where}$$

$$M_{(\mu)} = \left\{ m \in M \mid (C - \mu)^k m = 0 \text{ for some } k > 0 \right\}$$

→ Since  $C$  is central in  $U$ ,  $\forall u \in U$   
 $m \in M_{(\mu)}$ ,  $(C-\mu)^k u m = u (C-\mu)^k m$   
 $\therefore um \in M_{(\mu)}$

$M_{(\mu)}$  is a submodule of  $M$ .

$\therefore$  It suffices to prove that each  $M_{(\mu)}$  is semisimple.

Let us assume that  $M = M_{(\mu)}$  for some

$\mu$ .  
 → Then  $C-\mu$  acts nilpotently on  $M$ ,  
 hence on each  $M_i/M_{i-1}$ .

→ On the other hand,  $C$  acts as mult-  
 by  $\mu_i$  on  $M_i/M_{i-1}$ .  
 $\Rightarrow \mu_i = \mu$  for all  $i$

→ By lemma 2.8,  $\exists n \geq 0$  and a  
 sign  $\varepsilon$  such that each  $M_i/M_{i-1}$   
 is isomorphic to  $L(n, \varepsilon)$ .

→ By assumption,  $M$  is a direct sum  
 of its weight spaces  
 $M = \bigoplus_{\nu} M_{\nu}$

→ If  $N$  is a submodule of  $M$ , then  
 $N = \bigoplus_{\nu} N_{\nu}$  and  $N_{\nu} = N \cap M_{\nu}$  for  
 all  $\nu$ .

$$\Rightarrow \dim M_{\nu} = \dim N_{\nu} + \dim \left( \frac{M}{N} \right)_{\nu}$$

→ Applying this repeatedly to the composition series, we get that

$$\dim(M_\nu) = \sum_{i=1}^r \dim\left(\frac{M_i}{M_{i-1}}\right)_\nu = r \dim L(n, \varepsilon)_\nu$$

→ When  $\nu = \varepsilon q^n$  then we had seen before in thm 2.6 that

$$L(n, \varepsilon)_\nu = \mathbb{k} m_0$$

and  $L(n, \varepsilon)_{q^2 \nu} = 0$

∴  $\dim M_\nu = r \dim L(n, \varepsilon)_\nu = r$  for  $\nu = \varepsilon q^n$   
and

$$\dim M_{q^2 \nu} = 0 \Rightarrow M_{q^2 \nu} = 0$$

→ ∴ For any  $v \in M_\nu$  we have  
therefore  $Ev = 0$

So the submodule  $Uv$  is the homomorphic image of  $M(v)$

→ Since  $Uv$  is finite diml  
⇒  $Uv \cong L(n, \varepsilon)$

→ Choose a basis  $v_1, \dots, v_r$  of  $M_\nu$ .

Then  $M = \sum_{i=1}^r Uv_i$ , since

$$\left(M / \sum_{i=1}^r Uv_i\right)_\nu = 0$$

∴ since each composition factor  $L$  of  $M / \left(\sum_{i=1}^r Uv_i\right)$  is isomorphic to  $L(n, \varepsilon)$ .

satisfies  $L_\nu \neq 0$ .

Since  $\dim M = \dim L(n, \varepsilon)$   
 $= \sum_{i=1}^n \dim(Uv_i)$

$\therefore M = \bigoplus Uv_i$

Hence  $M$  is semisimple.